

UNITED STATES DISTRICT COURT

for the

District of Massachusetts

United States of America

v.

Robert Burton

Case No.

13 ms 7131 JCB

Defendant(s)

CRIMINAL COMPLAINT

I, the complainant in this case, state that the following is true to the best of my knowledge and belief.

On or about the date(s) of July 2007-June 2013 in the county of Bristol in the
District of Massachusetts, the defendant(s) violated:

*Code Section**Offense Description*

Title 15, United States Code,
 Sections 78j(b) and 78ff and
 17 C.F.R. Section 240.10b-5

Securities Fraud

This criminal complaint is based on these facts:

Please see attached Exhibit A (Affidavit of David Makol).

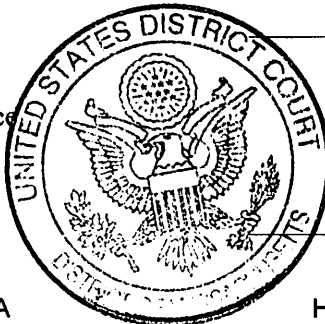
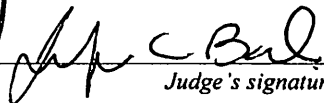
☒ Continued on the attached sheet.

Complainant's signature

David Makol, Special Agent, FBI

Printed name and title

Sworn to before me and signed in my presence

Date: August 28, 2013

*Judge's signature*City and state: Boston, MA

Hon. Jennifer C. Boal U.S. Magistrate Judge

Printed name and title